

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
FILE COPY

In re Application of:

John J. Harrington, et al.

Serial No.: 09/513,997

Filed: February 26, 2000

For: Compositions and Methods for Non-Targeted Activation of Endogenous Genes



Art Unit: 1643

Examiner: Not Assigned

Atty. Docket: 0221-0003Q

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicant(s) hereby request(s) that a corrected Official Filing Receipt be issued and sent to the undersigned representative.

Specifically, it is noted that the following are incorrect on the present Official Filing Receipt:

1. Please delete the information listed under Continuing Data as Claimed by Applicant and insert data circled.
2. Please add letters circled in the title.

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red.

It is therefore respectfully requested that a corrected Official Filing Receipt is issued and officially entered into the case, which reflects the correction of all the above-noted error(s). It is further requested that the corrected Official Filing Receipt be sent to the undersigned at the earliest possible time.

Respectfully submitted,

SHANKS & HERBERT

By:

Suzannah K. Sundby
Suzannah K. Sundby
Reg. No. 43,172

Enclosure

Date: 12-22-00

TransPotomac Plaza
1033 N. Fairfax Street
Suite 306
Alexandria, VA 22314

RECEIVED
MAR 19 2001
TECH CENTER 1600/23000

FILING RECEIPT



OC000000005028965

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

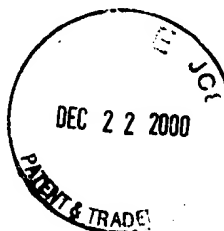
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/513,997 ✓	02/26/2000 ✓	1643	2524	5817-7Q ✓	62 ✓	57	14 ✓

000826
ALSTON & BIRD LLP
P O DRAWER 34009
CHARLOTTE, NC 28234-4009

Alston & Bird

APR 10 2000

Received By



Date Mailed: 04/04/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John J. Harrington, Mentor, OH ; ✓
Bruce Sherf, Spencer, OH ; ✓
Stephen Rundlett, Chagrin Falls, OH ; ✓

Continuing Data as Claimed by Applicant - PLEASE SEE THE ATTACHED

Foreign Applications

If Required, Foreign Filing License Granted 04/04/2000

** SMALL ENTITY ** ✓

Title

Compositions and method for non-targeted activation of endogenous genes ✓

Preliminary Class

435

Data entry by : MARTIN, DIANE

Team : OIPE

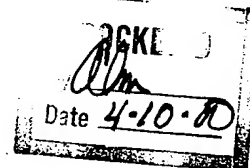
Date: 04/04/2000



TECH CENTER 1600/2900

MAR 19 2001

RECEIVED



THIS APPLN IS A DIV OF 09/276,820 03/26/99
WHICH IS A CIP OF 09/263,814 03/08/99 ABN
WHICH IS A CIP OF 09/253,022 02/19/99 ABN
WHICH IS A CIP OF 09/159,643 09/24/98 ABN
WHICH IS A CIP OF 08/941,223 09/26/97 ABN



UNITED STATES PATENT AND TRADEMARK OFFICE

~~FILE COPY~~

H-10
3-22-01
P.2.

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 09/513,997	FILING DATE 02/26/2000 RULE —	CLASS 435	GROUP ART UNIT 1643	ATTORNEY DOCKET NO. 5817-7Q
APPLICANTS John J. Harrington, Mentor, OH ; Bruce Sherf, Spencer, OH ; Stephen Rundlett, Chagrin Falls, OH ;				
** CONTINUING DATA ***** THIS APPLICATION IS A DIV OF 09/276,820 03/26/1999 WHICH IS A CIP OF 09/263,814 03/08/1999 ABN WHICH IS A CIP OF 09/253,022 02/19/1999 ABN WHICH IS A CIP OF 09/159,643 09/24/1998 ABN WHICH IS A CIP OF 08/941,223 09/26/1997 ABN				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/04/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		STATE OR COUNTRY OH	SHEETS DRAWING 62	TOTAL CLAIMS 57
Verified and Acknowledged Examiner's Signature _____ Initials _____		INDEPENDENT CLAIMS 14		
ADDRESS SHANKS AND HERBERT TRANSPOTOMACPLAZA 1033 N. FAIRFAX ST., SUITE 306 ALEXANDRIA ,VA 22314				
TITLE Compositions and methods for non-targeted activation of endogenous genes				
FILING FEE RECEIVED 2524	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	